UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

27581

7590

01/24/2011

EXAMINER

ALTER, ALYSSA MARGO

MEDTRONIC, INC. 710 MEDTRONIC PARKWAY NE MINNEAPOLIS, MN 55432-9924

ART UNIT PAPER NUMBER

3762

DATE MAILED: 01/24/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/648,908	08/27/2003	Scott J. Brabec	P0009676.00/LG10126	4394

TITLE OF INVENTION: HIGH IMPEDANCE AND LOW POLARIZATION ELECTRODE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	04/25/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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CURRENT CORRESPONDI	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.						
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<b>MEDTRONIC</b>		Cer I hereby certify that th	tificate is Fee(s	of Mailing or Trans ) Transmittal is being	mission g deposited with the United		
710 MEDTRON	IC PARKWAY NE	E		States Postal Service v	vith suff	icient postage for fir	g deposited with the United st class mail in an envelope above, or being facsimile late indicated below.
MINNEAPOLIS	5, MN 55432-9924			transmitted to the USP	TO (571	1) 273-2885, on the d	ate indicated below.
							(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	ΓOR	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/648,908	08/27/2003		Scott J. Brabec		P0009676.00/LG10126		4394
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nonprovisional	NO	\$1510	\$300	\$0		\$1810	04/25/2011
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
ALTER, ALY		3762	607-122000				
1. Change of corresponde CFR 1.363).	ence address or indicatio	n of "Fee Address" (37	2. For printing on the patent front page, list     (1) the names of up to 3 registered patent attorneys     1				
	ondence address (or Cha 3/122) attached.	nge of Correspondence	or agents OR, alter	p to 3 registered pater natively,	it attorn	eys	
"Fee Address" indi	ication (or "Fee Address 2 or more recent) attach	(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print o	r type)			
PLEASE NOTE: Unl	ess an assignee is ident	ified below, no assignee	data will appear on th	ne patent. If an assign	ee is id	entified below, the d	ocument has been filed for
(A) NAME OF ASSIC		piedon of this form is NO		; an assignment. ITY and STATE OR (			
,						•	-
Please check the appropri	iate assignee category or	categories (will not be p	rinted on the patent):	☐ Individual ☐ C	orporatio	on or other private gro	oup entity Government
4a. The following fee(s)	are submitted:	4	b. Payment of Fee(s): (	Please first reapply a	ny previ	iously paid issue fee	shown above)
Issue Fee			A check is enclosed.				
	To small entity discount p	Payment by credit card. Form PTO-2038 is attached.					
Advance Order - # of Copies			☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
5. Change in Entity Stat	tus (from status indicate	d above)					
	s SMALL ENTITY state		**	longer claiming SMA			
NOTE: The Issue Fee and interest as shown by the i	d Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademark	ed from anyone other the A Office.	an the applicant; a reg	istered a	ttorney or agent; or th	ne assignee or other party in
Authorized Signature				Date			
Typed or printed name			Registration No				
This collection of inform an application. Confident submitting the completed this form and/or suggesti Box 1450, Alexandria, V Alexandria Virginia 223	ation is required by 37 C tiality is governed by 35 d application form to the ons for reducing this bu (irginia 22313-1450. DC 13-1450.	CFR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR	on is required to obtain 1.14. This collection is depending upon the i e Chief Information O COMPLETED FORM	or retain a benefit by to sestimated to take 12 andividual case. Any cofficer, U.S. Patent and S TO THIS ADDRESS	he publi minutes omments Tradem S. SENI	to which is to file (and to complete, includir s on the amount of ti- ark Office, U.S. Dep O TO: Commissioner	d by the USPTO to process) ng gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

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710 MEDTRO			ART UNIT	PAPER NUMBER				
MINNEAPOLI	MINNEAPOLIS, MN 55432-9924				3762			
				DATE MAILED: 01/24/2011				

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 340 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 340 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)		
Notice of Allegaria III	10/648,908	BRABEC ET AL.	BRABEC ET AL.	
Notice of Allowability	Examiner	Art Unit		
	Alyssa M. Alter	3762		
The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this or other appropriate communical GHTS. This application is subjection	s application. If not include ation will be mailed in due	ed course. <b>THIS</b>	
1. $\boxtimes$ This communication is responsive to <u>19 November 2010</u> .				
2. X The allowed claim(s) is/are <u>1,3-5,7-10,14,15,17-22 and 36</u>				
<ul> <li>3. Acknowledgment is made of a claim for foreign priority ur</li> <li>a) All</li> <li>b) Some*</li> <li>c) None</li> <li>of the:</li> </ul> 1. Certified copies of the priority documents have				
2.   Certified copies of the priority documents have	been received in Application N	o		
3. Copies of the certified copies of the priority do	cuments have been received in	this national stage applica	tion from the	
International Bureau (PCT Rule 17.2(a)).				
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		ply complying with the red	quirements	
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			IOTICE OF	
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.			
(a) 🔲 including changes required by the Notice of Draftspers	son's Patent Drawing Review ( P	TO-948) attached		
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date				
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in t	ne Office action of		
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			e back) of	
<ol> <li>DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT</li> </ol>			Note the	
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☐ Notice of Inform	nal Patant Application		
<ol> <li>Notice of Treferences Gled (1 10-692)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>	6. Interview Summ	• • •		
	Paper No./Mai	I Date		
<ol> <li>Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 7/19/04</li> </ol>	7. 🔲 Examiner's Am	endment/Comment		
4. Examiner's Comment Regarding Requirement for Deposit	8. 🛛 Examiner's Stat	ement of Reasons for Allo	owance	
of Biological Material	9. 🔲 Other			
/Alyssa M Alter/	/Niketa I. Patel/			
Examiner, Art Unit 3762	Supervisory Patent	Examiner, Art Unit 376	52	

Art Unit: 3762

### **REASONS FOR ALLOWANCE**

## Allowable Subject Matter

The following is an examiner's statement of reasons for allowance: the prior art of record fails to teach or reasonably suggest: an implantable medical electrical lead having a conductor coupled to an electrode for stimulating myocardial tissue via intimate contact with the tissue, wherein the electrode comprising a conductive structure having a first, interior surface defining a closed cavity within the electrode and having a second, exterior surface, wherein the first, interior surface of the conductive structure defines a first electrode surface and an insulative housing wrapping around the second, exterior surface of the conductive structure and having a port that circumscribes an area of the second, exterior surface of the conductive structure to define a second electrode surface. Additionally within the cavity is an ionically conductive fluid medium filling the cavity and being in intimate contact with the first electrode surface. Furthermore, electrical lead includes an insulated helical fixation member coupled to the insulative housing and extending distally therefrom. Finally, when a current is delivered, via the conductor, to the electrode, a first current density is generated at the first electrode surface and a second current density is generated at the second electrode surface, the first current density being smaller than the second current density and when the helical fixation member is engaged in tissue, the second electrode surface forms a high impedance and low polarization tissue-stimulating electrode, in combination with the other elements in the claims.

Application/Control Number: 10/648,908 Page 3

Art Unit: 3762

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alyssa M. Alter whose telephone number is (571)272-4939. The examiner can normally be reached on M-F 8am to 4pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Niketa Patel can be reached on (571) 272-4156. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Niketa I. Patel/ Supervisory Patent Examiner, Art Unit 3762

/Alyssa M Alter/ Examiner Art Unit 3762